



HELVEA

ADDENDUM TO GENERAL BUSINESS CONDITIONS

This document pertains to specific trades further described as Block-Trades. It should be read in conjunction with the Helvea SA General Terms of Business.

From time to time, the Client may ask the Broker to buy or sell shares which have an added level of complexity such as: the shares in question may be less liquid in nature, the volume of shares being matched may be greater than 10% of daily trading volume, and the transaction requires added effort on the part of Helvea to find a buyer or seller for the shares.

The Client authorizes the Broker to apply a different pricing structure, which may involve deviation from the market price, and which may allow the Broker to get compensated by both the buying and selling client separately, provided that the following conditions are met:

- You will be informed prior to the trade what fee structure will be applied to your leg of the transaction and whether this will involve a net price, a spread, increased commission or a combination of these.
- You will receive a confirmation statement for each individual trade containing some or all of the following details, depending on what has been agreed with you:
 - Agreed Price
 - Actual sell or purchase amount (Net Amount charged)
 - Commission and/or Spread as applicable
 - Final or initial price (Gross Amount charged)

This information will bring full transparency to you on the real market price and therefore on the spread applied in the specific context.

This document is in conformity with the Swiss Banking Association Code of Conduct for Securities Dealers Art. 12 and FINMA Circular 2008/ 38

You will be deemed to have accepted the Block Trade Terms of Business upon entrusting the execution of your first Block Trade Order to us. By accepting these terms, you represent that you have the authority to enter into this type of arrangement with us.

Version: April 2011