



HELVEA

Information concerning FINRA Regulation's Public Disclosure Program

Information concerning the Securities Investor Protection Corporation ("SIPC")

In accordance with FINRA Conduct Rule 2267, "Investor Education and Protection", you may receive information concerning the FINRA Regulation's Public Disclosure Program by either calling the FINRA BrokerCheck Hotline number (800-289-9999), or accessing the FINRA Regulation website address (www.finra.org). As well, an investor brochure describing the Public Disclosure Program is available from either of above mentioned sources.

You may find information concerning SIPC, including the SIPC brochure by either accessing their website at www.sipc.org , or by telephone at 202-371-8300.